



10051644.080502

#3

**DECLARATION**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**Screens and Assays for Agents Useful in Controlling Parasitic Nematodes**

the specification of which (I authorize Choate, Hall & Stewart to check one of the following, three choices, and fill in the blanks, if applicable):

\_\_\_ is attached hereto

X was filed on January 18, 2002 as Application Serial No. 10/051,644 and amended on \_\_\_\_\_ (if applicable),

\_\_\_\_\_ was filed as PCT international application No. \_\_\_\_\_, on \_\_\_\_\_ and was amended under PCT Article 19 on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understood the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledged the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s):

NUMBER	COUNTRY	DAY/MONTH/YEAR/FILED	PRIORITY CLAIMED	
			YES	NO

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) or PCT international application(s) designating the United States of America listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

APPLICATION NUMBER	FILING DATE	STATUS

PCT Applications designating the United States:

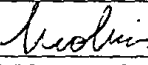

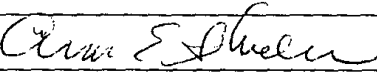
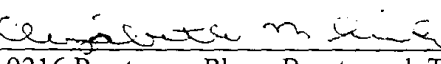
PCT APPLICATION NUMBER	U.S.S.N.	STATUS

I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application and the national filing date of this application.

Provisional Application(s):

APPLICATION NUMBER	FILING DATE	STATUS
60/263,081	January 18, 2001	Pending

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United State Code and that such willful false statements may jeopardize the validity of the application or any patents issued thereon.

Full name of first inventor	Leo Liu
Inventor's Signature	
Residence	201 Newton Street, Weston, MA 02493
Citizenship	USA
Post Office Address	Same
Full name of second inventor	Lucinda Burnam
Inventor's Signature	
Residence	46 Hunting Street, #1, Somerville, MA 02143
Citizenship	USA
Post Office Address	Same
Full name of third inventor	Ann Sluder
Inventor's Signature	
Residence	603 Farms Drive, Burlington, MA 01803
Citizenship	USA
Post Office Address	Same
Full name of fourth inventor	Elizabeth Link
Inventor's Signature	
Residence	9216 Prestmoor Place, Brentwood, TN 37027
Citizenship	USA
Post Office Address	Same

Full name of fifth inventor	Beth Westlund
Inventor's Signature	<u>Bethany Westlund</u>
Residence	<u>34 Garrison Road #5, Brookline, MA 02445</u>
Citizenship	<u>USA</u>
Post Office Address	<u>Same</u>



ATTORNEY DOCKET NUMBER: 2002630-0012

#3  
COPY OF PAPERS  
ORIGINALLY FILED

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Liu *et al.*  
Serial No.: 10/051,644  
Filing Date: January 18, 2002  
Title: Screens and Assays for Agents Useful in Controlling Parasitic Nematodes

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, DC 20231

Sir:

**WRITTEN ASSERTION OF SMALL ENTITY STATUS**  
**UNDER 37 CFR §1.27(a)(2)**  
**SMALL BUSINESS CONCERN**

The undersigned hereby asserts entitlement to small entity status as a small business concern under 37 CFR §1.27(a)(2) on behalf of Cambria Biosciences LLC

This assertion is made on the ground that Cambria Biosciences LLC is a concern

- (a) whose number of employees, including affiliates, does not exceed 500 persons; and
- (b) that *has not* assigned, granted, conveyed, or licensed, and is under no obligation under contract or law to assign, grant, convey, or license, any rights in the above-referenced patent application to any party who does not qualify for small entity status either as a person, a small business concern, or a nonprofit organization under 37 CFR §1.27,

as set forth in 13 CFR §121.802.

Respectfully Submitted,

Name: Leo Liu  
Title: President  
Date: 4/22/02

CHOATE, HALL & STEWART  
53 State Street  
Exchange Place  
Boston, MA 02109  
Phone: (617) 248-5000  
Fax: (617) 248-4000



ATTORNEY DOCKET NUMBER: 2002630-0012

COPY OF PAPERS  
ORIGINALLY FILED

**THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Liu *et al.*  
Serial No.: 10/051,644  
Filed: January 18, 2002  
For: Screens and Assays for Agents Useful in Controlling Parasitic Nematodes

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, DC 20231

Sir:

**ESTABLISHING RIGHT OF ASSIGNEE TO TAKE ACTION**  
**(37 CFR 3.73(b))**

The assignees of the entire right, title and interest of inventors in the above-referenced patent application:

**Cambria Biosciences LLC**

hereby seeks to take action in the Patent and Trademark Office in the above matter.

Ownership by the assignees is established as follows:

\_\_\_ documents already recorded in the PTO on \_\_\_\_\_.

Reel \_\_\_\_\_ Frame \_\_\_\_\_; and

X documents separately submitted for recordal to the PTO (a copy of these documents is attached).

**STATEMENT**

We, persons authorized to sign on behalf of the assignees, have reviewed the evidentiary documents referred to above and certify that, to the best of our knowledge and belief, title is mine/ours as assignees who seek to take further action.

**Cambria Biosciences LLC**

By:           *Andres*            
Title:           *President*            
Date:           *4/22/02*          

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# ASSIGNMENT

In consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, each of the undersigned **Leo Liu** of Weston, MA; **Lucinda Burnam** of Somerville, MA; **Ann Sluder** of Burlington, MA; **Elizabeth Link** of Brentwood, TN; and **Beth Westlund** of Brookline, MA hereby

Sells, assigns and transfers to **Cambria Biosciences, LLC**, having a place of business at **2 Preston Court, Bedford, MA 01730**, its successors, assigns and legal representatives, all hereinafter referred to as the ASSIGNEE, his entire right, title and interest for the United States and all foreign countries; in and to any and all inventions which are disclosed in the application for United States Letters Patent entitled "**Screens and Assays for Agents Useful in Controlling Parasitic Nematodes**" filed **January 18, 2002**, and given serial number **10/051,644**, in and to said application and all divisional, continuing, substitute, renewal, reissue reexamination or other application for Letters Patent which has been or shall be filed in the United States or any foreign country on any of said inventions, and in and to all original and reissued patents which have been or shall be issued in the United States and all foreign countries on said inventions including the right to apply for patent rights in each foreign country and all rights to priority.

Agrees that said ASSIGNEE may apply for and receive Letters Patent for said inventions in its own name and when requested, without charge to but at the expense of said ASSIGNEE, agrees to carry out in good faith the intent and purpose of this Assignment by executing all divisional, continuing, substitute, renewal, reissue, reexamination and all other patent applications on any and all said inventions; by executing all rightful oaths, assignments, powers of attorney and other papers; by communicating to said ASSIGNEE all facts known to him relating to said inventions and the history thereof, and generally by doing everything possible which said ASSIGNEE shall consider desirable for aiding in securing and maintaining proper patent protection for said inventions and for vesting title to said inventions and all applications for patents and all patents on said inventions, in said ASSIGNEE.

Hereby requests the Honorable Commissioner of Patents and Trademarks to issue said Letters Patent to said ASSIGNEE.

Covenants with said ASSIGNEE that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by him, and that full right to convey the same as herein expressed is possessed by him.



IN WITNESS WHEREOF, I hereto set my hand and seal at Bedford,  
MA this 1st day of May, 2002.

Leo Liu  
Leo Liu

STATE OF MA  
COUNTY OF MIDDLESEX SS.

Before me this 1 day of MAY, 2002, personally appeared  
**Leo Liu** known to me to be the person whose name subscribed to the foregoing Assignment and  
acknowledged that he executed the same as his free act and deed for the purposes therein  
contained.

Mark Maladowitz  
NOTARY PUBLIC

[Notary's Seal Here]

My Commission Expires:

Mark Maladowitz  
NOTARY PUBLIC  
My commission expires Mar. 11, 2005

IN WITNESS WHEREOF, I hereto set my hand and seal at Bedford,  
MA this 1st day of May, 2002.

Lucinda Burnam  
Lucinda Burnam

STATE OF MASS  
COUNTY OF MIDDLESEX SS.

Before me this 1 day of MAY, 2002, personally appeared  
**Lucinda Burnam** known to me to be the person whose name subscribed to the foregoing  
Assignment and acknowledged that he executed the same as his free act and deed for the  
purposes therein contained.

Mark Maladowitz  
NOTARY PUBLIC


[Notary's Seal Here]

My Commission Expires:

Mark Maladowitz  
NOTARY PUBLIC  
My commission expires Mar. 11, 2005

2002630-0012  
USSN: 10/051,644

IN WITNESS WHEREOF, I hereto set my hand and seal at Bedford,  
MA this 15<sup>th</sup> day of May, 2002.

  
Ann Sluder

STATE OF MA  
COUNTY OF MIDDLESEX SS.

Before me this 1 day of MAY, 2002, personally appeared  
**Ann Sluder** known to me to be the person whose name subscribed to the foregoing Assignment  
and acknowledged that he executed the same as his free act and deed for the purposes therein  
contained.

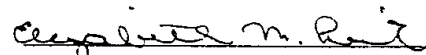
  
NOTARY PUBLIC

[Notary's Seal Here]

My Commission Expires:

Mark Maladowitz  
NOTARY PUBLIC  
My commission expires Mar. 11, 2005

IN WITNESS WHEREOF, I hereto set my hand and seal at Nashville,  
TN this 9<sup>th</sup> day of May, 2002.

  
Elizabeth Link

STATE OF Tennessee  
COUNTY OF Davidson SS.

Before me this 9<sup>th</sup> day of May, 2002, personally appeared  
**Elizabeth Link** known to me to be the person whose name subscribed to the foregoing  
Assignment and acknowledged that he executed the same as his free act and deed for the  
purposes therein contained.

NOTARY PUBLIC 

[Notary's Seal Here]

My Commission Expires: **MY COMMISSION EXPIRES  
JULY 30, 2005**

2002630-0012  
USSN: 10/051,644

IN WITNESS WHEREOF, I hereto set my hand and seal at Bedford,  
MA this 1<sup>st</sup> day of May, 2002.

Beth Westlund  
**Beth Westlund**  
Bethany

STATE OF MA  
COUNTY OF MIDDLESEX SS.

Before me this 1 day of MAY, 2002, personally appeared  
**Beth Westlund** known to me to be the person whose name subscribed to the foregoing  
Assignment and acknowledged that he executed the same as his free act and deed for the  
purposes therein contained.

Mark Maladowitz  
NOTARY PUBLIC

[Notary's Seal Here]

My Commission Expires:

Mark Maladowitz  
NOTARY PUBLIC  
My commission expires Mar. 11, 2005

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